

# Detection of unknown boundaries and inclusions in elastic plates

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## KINDS OF DEFECTS:

- Inclusions made of elastic material either softer or harder than the background
- Cavities
- Inaccessible portions of the boundary of the plate
- Rigid inclusions

## Formulation of the problem

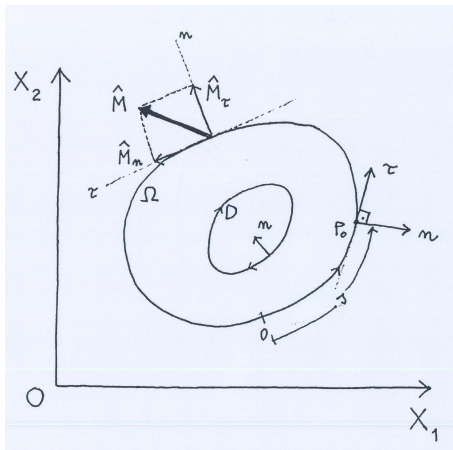
Rigid inclusions: Uniqueness result

Rigid inclusions: Stability result

Mathematical tools

Size estimates

Open problems



$$\hat{M} = \hat{M}_\tau n + \hat{M}_n \tau = \hat{M}_2 e_1 + \hat{M}_1 e_2, \quad \text{on } \partial\Omega, \quad \tau = e_3 \times n$$

## Statical equilibrium problem when $D$ is a rigid inclusion

$$(BVP) \begin{cases} \operatorname{div}(\operatorname{div}(\mathbb{P}\nabla^2 w)) = 0, & \text{in } \Omega \setminus \bar{D}, \\ (\mathbb{P}\nabla^2 w)n \cdot n = -\hat{M}_n, & \text{on } \partial\Omega, \\ \operatorname{div}(\mathbb{P}\nabla^2 w) \cdot n + ((\mathbb{P}\nabla^2 w)n \cdot \tau)_{,s} = (\hat{M}_\tau)_{,s}, & \text{on } \partial\Omega, \\ w|_{\bar{D}} \in \mathcal{A}, \\ w^{e,n} = w^i{}_{,n}, & \text{on } \partial D, \end{cases}$$

coupled with the equilibrium conditions

$$(E) \int_{\partial D} \left( \operatorname{div}(\mathbb{P}\nabla^2 w) \cdot n + ((\mathbb{P}\nabla^2 w)n \cdot \tau)_{,s} \right) g - ((\mathbb{P}\nabla^2 w)n \cdot n)g_{,n} = 0, \\ \forall g \in \mathcal{A},$$

$$\mathcal{A} = \{g(x_1, x_2) = ax_1 + bx_2 + c, a, b, c \in \mathbb{R}\}$$

- $\mathbb{P} = \frac{h^3}{12}\mathbb{C}$
- $\mathbb{C} \in L^\infty(\overline{\Omega})$ ,  $\mathbf{C}_{\alpha\beta\gamma\delta} = \mathbf{C}_{\gamma\delta\alpha\beta} = \mathbf{C}_{\gamma\delta\beta\alpha}$ ,  $\alpha, \beta, \gamma, \delta = 1, 2$ .
- $\mathbb{C}$  **strongly convex** :

$$\exists \gamma > 0 \mid \mathbb{C}(x)\mathbf{A} \cdot \mathbf{A} \geq \gamma|\mathbf{A}|^2,$$

for every  $2 \times 2$  symmetric matrix  $\mathbf{A}$  and for every  $x \in \overline{\Omega}$ .

- $\hat{\mathbf{M}} \in H^{-\frac{1}{2}}(\partial\Omega, \mathbb{R}^2)$ .
- **Compatibility conditions** :  $\int_{\partial\Omega} \hat{\mathbf{M}}_\alpha = 0$ ,  $\alpha = 1, 2$ ,
- $\Omega$ ,  $D$  simply connected bounded domains in  $\mathbb{R}^2$  of class  $C^{1,1}$ ,  $D \subset\subset \Omega$ .

## DIRECT PROBLEM

Problem (*BVP*) admits a weak solution  $w \in H^2(\Omega \setminus \bar{D})$ , which is determined up to the addition of an affine function. If we require

$$(NC) \quad w = 0, \quad w_{,n} = 0 \quad \text{on } \partial D.$$

there exists a unique solution satisfying

$$\|w\|_{H^2(\Omega \setminus \bar{D})} \leq C \|\hat{M}\|_{H^{-1/2}(\partial\Omega)}.$$

$$\text{Let } H = \{v \in H^2(\Omega \setminus \bar{D}) \mid v = 0, v_{,n} = 0 \text{ on } \partial D\}$$

**Variational formulation** A weak solution to the mixed problem (*BVP*) – (*NC*) is a function  $w \in H$  satisfying

$$\int_{\Omega \setminus \bar{D}} \mathbb{P} \nabla^2 w \cdot \nabla^2 v = \int_{\partial\Omega} -\hat{M}_{\tau,s} v - \hat{M}_n v_{,n}, \quad \forall v \in H.$$

## INVERSE PROBLEM

To determine the **unknown** rigid inclusion  $D$  from the additional measurement taken on an open portion  $\Gamma$  of  $\partial\Omega$  of the **Dirichlet** data  $\{w, w_{,n}\}$ , that is from the **Cauchy data** on  $\Gamma$  :

$$(Cauchy) \left\{ \begin{array}{l} w|_{\Gamma} \\ w_{,n}|_{\Gamma} \\ (\mathbb{P}\nabla^2 w)n \cdot n|_{\Gamma} = -\widehat{M}_n \\ \operatorname{div}(\mathbb{P}\nabla^2 w) \cdot n + ((\mathbb{P}\nabla^2 w)n \cdot \tau)_{,s}|_{\Gamma} = M_{\tau,s} \end{array} \right.$$

## APPLICATIONS

Non-destructive testing for quality assessment of materials

## HYPOTHESES FOR UNIQUENESS

- $(\widehat{M}_n, (\widehat{M}_\tau)_{,s}) \neq 0$
- The rigid inclusions  $D$  is of class  $C^{3,1}$ .
- The boundary measurements are taken on an open portion  $\Gamma$  of  $\partial\Omega$  of class  $C^{3,1}$ .
- The elasticity tensor  $\mathbb{C}$  is of class  $C^{1,1}$  and satisfies a **dichotomy condition** ensuring that the complex characteristic lines of the principal part of the operator have the same multiplicity everywhere (1 or 2), that is:



$$(1) \quad \text{either } \mathcal{D}(x) > 0, \forall x \in \mathbb{R}^2$$

$$(2) \quad \text{or } \mathcal{D}(x) = 0, \forall x \in \mathbb{R}^2$$

$\mathcal{D}(x)$  absolute value of the discriminant of  $p(x; (t, 1))$ , where  $p(x; \xi)$  is the symbol of the principal part of the operator.

**Class of Orthotropic materials:** through each point there pass three mutually orthogonal planes of elastic symmetry, which are parallel at all points.

- Any orthotropic material satisfy either (1) or (2)
- Isotropic material  $\Rightarrow \mathcal{D}(x) \equiv 0$
- There exist anisotropic orthotropic materials for which  $\mathcal{D}(x) \equiv 0$

**Remark.** The value of  $\mathcal{D}(x)$  cannot be interpreted as a measure of anisotropy.

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## Theorem (A. Morassi, E.R., C.R. Mecanique 338, 2010)

Let  $w_i$ ,  $i = 1, 2$ , be the solutions to the normalized problem

$$(NP) \begin{cases} \operatorname{div}(\operatorname{div}(\mathbb{P}\nabla^2 w_i)) = 0, & \text{in } \Omega \setminus \overline{D}_i, \\ (\mathbb{P}\nabla^2 w_i)n \cdot n = -\hat{M}_n, & \text{on } \partial\Omega, \\ \operatorname{div}(\mathbb{P}\nabla^2 w_i) \cdot n + ((\mathbb{P}\nabla^2 w_i)n \cdot \tau)_{,s} = (\hat{M}_\tau)_{,s}, & \text{on } \partial\Omega, \\ w_i = 0 & \text{on } \partial D_i, \\ w_{i,n} = 0 & \text{on } \partial D_i. \end{cases}$$

If for some  $g \in \mathcal{A}$

$$w_1 - w_2 = g, \quad (w_1 - w_2)_{,n} = g_{,n}, \quad \text{on } \Gamma,$$

then  $D_1 = D_2$ .

## OTHER KINDS OF DEFECTS

- **CAVITIES** → uniqueness with **TWO** measurements  
(Morassi, E. R., Vessella, Inverse Problems and Imaging, 2007)
- **UNKNOWN BOUNDARIES** → uniqueness with one measurement (A. Morassi, E. R., C.R. Mecanique, 2010)

**Math.** In both cases **homogeneous Neumann b.c.** on the boundary of the defect, but **different geometry.**



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## A PRIORI ASSUMPTIONS FOR STABILITY

- $\partial\Omega$  of class  $C^{2,1}$  with constants  $\rho_0$ ,  $M_0$
- $|\Omega| \leq M_1$
- $\text{dist}(D, \partial\Omega) \geq \rho_0$
- $\partial D$  of class  $C^{3,1}$  with constants  $\rho_0$ ,  $M_0$
- $\hat{M} \in L^2(\partial\Omega, \mathbb{R}^2)$ ,  $(\hat{M}_n, (\hat{M}_\tau)_s) \neq 0$
- $\text{supp}(\hat{M}) \subset \Gamma$ ,  $\Gamma \in C^{3,1}$
- $\partial\Omega \cap B_{\rho_0}(P_0) \subset \Gamma$ , for some  $P_0 \in \Gamma$
- $\frac{\|\hat{M}\|_{L^2}}{\|\hat{M}\|_{H^{-1/2}}} \leq F$

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- $\|C\|_{C^{1,1}(\mathbb{R}^2)} \leq M$
- $CA \cdot A \geq \gamma |A|^2, \forall$  symmetric  $2 \times 2$  matrix  $A$
- Dichotomy condition:

$$\text{either } \mathcal{D}(x) > 0, \forall x \in \mathbb{R}^2 \quad (\delta_1 = \min_{\mathbb{R}^2} \mathcal{D})$$
$$\text{or } \mathcal{D}(x) = 0, \forall x \in \mathbb{R}^2$$

where  $\mathcal{D}(x)$  is the discriminant of the polynomial  $p(x; (t, 1))$ , where  $p(x; \xi)$  is the symbol of the principal part of the operator.

## Theorem (Stability, A. Morassi, E. R., S. Vessella, SIAM J. Math. Anal. 2012)

Let  $w_i \in H^2(\Omega \setminus \overline{D}_i)$  be the solutions to (NP),  $i = 1, 2$ .  
If, for some  $g \in \mathcal{A}$ ,

$$\|w_1 - w_2 - g\|_{L^2(\Gamma)} + \|(w_1 - w_2)_{,n} - g_{,n}\|_{L^2(\Gamma)} \leq \epsilon,$$

then

$$d_{\mathcal{H}}(\overline{D}_1, \overline{D}_2) \leq C(\log |\log \epsilon|)^{-\eta},$$

for every  $\epsilon$ ,  $0 < \epsilon < e^{-1}$ , where  $C > 0$ ,  $\eta$ ,  $0 < \eta \leq 1$ , are constants only depending on the a priori data.

## Stability results in other contexts

- **Electrical conductors: log** - type stability estimate for perfectly insulating and for perfectly conducting inclusions  
 $n = 2$ :  
Bukhgeim, Cheng, Yamamoto 1998, 1999, 2000,  
Beretta, Vessella 1998,  
Rondi 1999,  
Alessandrini, Rondi 2001;  
 $n \geq 2$ :  
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- **Thermic conductors: log** - type stability estimate for solidification fronts and cavities  
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!!! The log rate of convergence is **optimal**

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**Remark** The weaker rate of convergence in elastostatics is due to the lack of quantitative estimates of **unique continuation at the boundary** for solutions satisfying homogeneous Neumann/Dirichlet boundary conditions.

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- **Regularity of solutions**

For every solution  $w$  to the normalized problem (NP),  
 $w \in C^1$  up to  $\partial D$   
the b.c. at  $\partial D$  hold in the classical sense.

- **Three spheres inequality**



- **Weak Unique Continuation Property of the solution.** If  $w \equiv 0$  in some open nonempty subset of  $\Omega \setminus \overline{D}$ , then  $w \equiv 0$  in  $\Omega \setminus \overline{D}$ .

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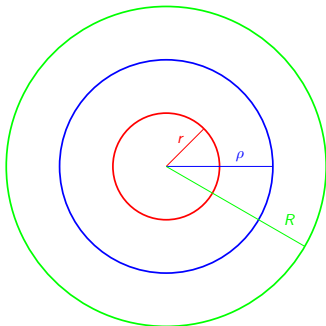


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## THREE SPHERES INEQUALITY

$$\int_{B_\rho} |\nabla^2 w|^2 \leq C \left( \int_{B_r} |\nabla^2 w|^2 \right)^\theta \left( \int_{B_R} |\nabla^2 w|^2 \right)^{1-\theta}$$





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## Lipschitz propagation of smallness

Let  $w \in H^2(\Omega \setminus \bar{D})$  be a solution to the normalized problem (NP). There exists  $s > 1$  (only depending on  $\gamma_0, M, \delta_1, M_0$ ) s.t.  $\forall \rho > 0$  and  $\forall \bar{x} \in (\Omega \setminus \bar{D})_{s\rho}$  we have

$$(LPS) \quad \int_{B_\rho(\bar{x})} |\nabla^2 w|^2 \geq \frac{C}{\exp[A\rho^{-B}]} \int_{(\Omega \setminus \bar{D})} |\nabla^2 w|^2,$$

where  $A > 0$ ,  $B > 0$  and  $C > 0$  only depend on the a-priori data.

## "INTERMEDIATE CASE": ELASTIC INCLUSIONS

The inclusion  $D$  is made by elastic material with strongly convex tensor  $\tilde{\mathbb{C}}$

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- Alternative approach: estimates of the area of the inclusion

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## JUMP CONDITION

$$\exists \delta > 0 \text{ s.t. } \textit{either} (\tilde{\mathbb{C}} - \mathbb{C}) \geq \delta \mathbb{C}, \quad \textit{or} (\mathbb{C} - \tilde{\mathbb{C}}) \geq \delta \mathbb{C}.$$

## FATNESS CONDITION

$D$  measurable such that there exists  $h_1 > 0$  s.t.

$$\text{area}(D_{h_1}) \geq \frac{1}{2} \text{area}(D),$$

where  $D_{h_1} = \{x \in D \mid \text{dist}(x, \partial D) > h_1\}$ .

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Let  $w \in H^2(\Omega)$  be the solution to the equilibrium problem when the inclusion  $D$  is present

$$(P) \begin{cases} \operatorname{div}(\operatorname{div}((\chi_{\Omega \setminus D} \mathbb{P} + \chi_D \tilde{\mathbb{P}}) \nabla^2 w)) = 0, & \text{in } \Omega, \\ (\mathbb{P} \nabla^2 w) n \cdot n = -\hat{M}_n, & \text{on } \partial\Omega, \\ \operatorname{div}(\mathbb{P} \nabla^2 w) \cdot n + ((\mathbb{P} \nabla^2 w) n \cdot \tau)_{,s} = (\hat{M}_\tau)_{,s}, & \text{on } \partial\Omega, \end{cases}$$

normalized by the conditions

$$\int_{\Omega} w = 0, \quad \int_{\Omega} \nabla w = 0.$$

Let  $w_0 \in H^2(\Omega)$  be the solution to the equilibrium problem when the inclusion is absent

$$(P_0) \begin{cases} \operatorname{div}(\operatorname{div}(\mathbb{P}\nabla^2 w_0)) = 0, & \text{in } \Omega, \\ (\mathbb{P}\nabla^2 w_0)n \cdot n = -\hat{M}_n, & \text{on } \partial\Omega, \\ \operatorname{div}(\mathbb{P}\nabla^2 w_0) \cdot n + ((\mathbb{P}\nabla^2 w_0)n \cdot \tau)_{,s} = (\hat{M}_\tau)_{,s}, & \text{on } \partial\Omega, \end{cases}$$

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$$\int_{\Omega} w_0 = 0, \quad \int_{\Omega} \nabla w_0 = 0.$$

Works exerted by  $\widehat{M}$  when  $D$  is present and absent, respectively:

$$W = \int_{\Omega} (\mathbb{P} + (\widetilde{\mathbb{P}} - \mathbb{P})\chi_D) \nabla^2 w \cdot \nabla^2 w = - \int_{\partial\Omega} \widehat{M}_{\tau,s} w + \widehat{M}_n w_{,n}$$

$$W_0 = \int_{\Omega} \mathbb{P} \nabla^2 w_0 \cdot \nabla^2 w_0 = - \int_{\partial\Omega} \widehat{M}_{\tau,s} w_0 + \widehat{M}_n w_{0,n}$$

$$\frac{|W - W_0|}{W_0}$$

**normalized work gap**

Theorem (Size estimates, A. Morassi, E.R., S. Vessella, DCDS S 6, 2013)

Let  $\mathbb{C}$  satisfy the dichotomy condition.

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